金瑞基金 KraneShares™

KraneShares Investor Day

The Global Carbon Transformation: Action, Innovation, & Opportunity

Friday, June 3, 2022
8:30 am - 1:00 pm EDT

New York Stock Exchange
11 Wall St, New York, NY 10005

金瑞基金 KraneShares™

KraneShares Investor Day — The Global Carbon Transformation: Action, Innovation, & Opportunity

Welcome to our Investor Day at the New York Stock Exchange where we will explore opportunities arising from the policies and companies that are tackling global climate change. From carbon markets to companies in traditionally high emissions industries that are transitioning away from fossil fuels, investment opportunities abound in this dynamic and growing field. Throughout the day, you will hear from experts on how they are transforming their businesses and rolling out ambitious strategies to tackle this monumental challenge.

Conference Agenda		
8:30 am	**Breakfast**	
9:00 am	**History of NYSE: 230 Years of Making Connections**	**Pete Asch,** New York Stock Exchange Communications & Archives Manager
9:20 am	**Opening Remarks**	**Jonathan Krane,** KraneShares Chief Executive Officer
9:25 am	**A Financial Approach to Climate Change Risk**	**Robert Engle,** 2003 Nobel Prize in Economics, NYU Stern School of Business Professor of Finance, Chairman of CLIFI Board of Advisors
10:00 am	**Carbon Credits and Offsets: Powerful Tools, Massive Opportunities**	**Stephen Donofrio,** Forest Trends Director of Ecosystem Marketplace & Supply Change Initiatives **Kathy Benini,** S&P Global Managing Director and Head of Carbon Markets **Evan Ard,** Evolution Markets Chief Executive Officer **Luke Oliver,** KraneShares Managing Director
10:40 am	**Break**	
10:50 am	**Investing in the Low-Carbon Leaders of the Future**	**Michael Lee,** Octopus Energy USA Chief Executive Officer **Roger Mortimer,** KraneShares Portfolio Manager
11:20 am	**A Greener Tomorrow: How Electrification and Renewables are Changing the Future**	**Corey Cantor,** BloombergNEF Electric Vehicles Associate **Matthew Hankey,** New Energy Equity, LLC Co-Founder, President, and Chief Executive Officer **Anthony Sassine, CFA,** KraneShares Senior Investment Strategist
12:00 pm	**Putting It All Together: An Allocators' Perspective on Incorporating the Carbon Transformation Into Portfolios**	**Sarah Edwards,** Cambridge Associates Senior Investment Director of Sustainable and Impact Investing **Rob Walker, CFA, CFP,** Jordan Park Vice President **Ian Smith, CFA,** The Nature Conservancy Director of Investments **Julian Daniels,** KraneShares Managing Director Institutional Sales
12:40 pm	**Lunch**	
1:00 pm	**Event Concludes**	

Sign up to receive our note on the climate and carbon markets at www.climatemarketnow.com

CLIMATE MARKET NOW

POWERED BY: KraneShares

Featured Speakers (in order of appearance):

Pete Asch, New York Stock Exchange Communications and Archives Manager

Pete Asch is the New York Stock Exchange Archivist and the Executive Producer of the growing podcast, Inside the ICE House. With a focus on utilizing the Exchange's heritage and history, Pete manages the team that is responsible for the New York Stock Exchange Archives which chronicles the activities of the Exchange from its founding in 1792 to the present day. Pete is also regularly interviewed by major news outlets on the history of Wall Street as seen on CNN, Bloomberg, and the Wall Street Journal. Prior to joining NYSE, Pete held positions as Princeton University, The Guggenheim Museum, the Oregon Jewish Museum and Center for Holocaust Education, among others. He holds a MA in History with a Certificate of Archival Management from New York University and BA from Rutgers University.

Jonathan Krane, KraneShares Chief Executive Officer

Jonathan Krane is the founder and Chief Executive Officer of KraneShares, an asset management firm delivering China and climate-focused exchange-traded funds to global investors. KraneShares focuses on providing expert access and products for investors to gain exposure to innovative capital market opportunities in China, climate, and other asset classes. Jonathan has spent the last twenty years working with companies in China. He previously founded a leading media and entertainment company in China, which was later sold to a publicly-traded multi-national corporation. Jonathan received an MBA from Columbia Business School and a BA from Connecticut College. He is a member of the Young Presidents Organization (YPO).

Robert Engle, 2003 Nobel Prize in Economics, NYU Stern School of Business Professor of Finance, Chairman of CLIFI Board of Advisors

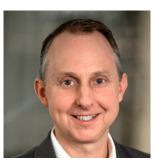
Robert Engle, the Michael Armellino Professor of Finance at New York University Stern School of Business, was awarded the 2003 Nobel Prize in Economics for his research on the concept of autoregressive conditional heteroskedasticity (ARCH). He is currently the Co-Director of the NYU Stern Volatility and Risk Institute and is the Co-Founding President of the Society for Financial Econometrics (SoFiE), a global non-profit organization housed at NYU. Before joining NYU Stern in 2000, Professor Engle was Chancellor's Associates Professor and Economics Department Chair at the University of California, San Diego, and Associate Professor of Economics at the Massachusetts Institute of Technology.

Stephen Donofrio, Forest Trends Director of Ecosystem Marketplace & Supply Change Initiatives

Stephen Donofrio is the Director of Ecosystem Marketplace, the world's first and only internationally recognized and standardized Voluntary Carbon Markets (VCM) end-to-end transparency platform providing exclusive global carbon trade pricing data, insights, and news. Over the past two decades, he's held key roles at prominent environmental markets and climate action organizations, including Forest Trends, as well as the Chicago Climate Exchange and CDP (formerly, Carbon Disclosure Project). Working to shape the climate and sustainability landscape towards pragmatic and science-focused goals, he's a creative strategist and experienced leader with a strong sustainability/ESG track record in building programs, products, and teams, developing partnerships, and capital raising through fundraising and sales. He is the author of dozens of publications, articles, and reports, and an active participant in specialist working groups.

Kathy Benini, S&P Global Managing Director and Head of Carbon Markets

Kathy Benini is a Managing Director and Head of Carbon Markets at S & P Global including responsibility for the S&P Global Environmental Registry, Auctions, and Carbon Meta-Registry. Kathy is a frequent speaker on environmental markets and how to use data and technology to advance the growth of carbon markets. She has been a member of the Task Force for Scaling Voluntary Markets and is a current member of the UK Voluntary Carbon Market Forum Steering Committee. She currently sits on the board and is a Past President of the Women's Energy Network, Greater New York City Chapter. Kathy holds a degree in Economics from Eisenhower College of Rochester Institute of Technology and an MBA from Columbia University.

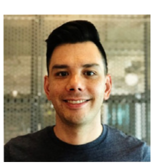


Evan Ard, Evolution Markets Chief Executive Officer

Evan Ard is the CEO of Evolution Markets, a global financial services provider helping clients achieve sustainable solutions to address the challenges of climate change and the global energy transition. Mr. Ard has more than 20 years of experience in environmental trading markets, including previously as head of strategy and business development at Evolution Markets, Director of Corporate Marketing at the New York-based public relations agency Southard Communications, and public policy and federal governmental relations at Northern States Power Company and its subsidiary NRG Energy. Evolution Markets was formed in 2000 and has the longest continuous presence in global carbon markets of any financial services firm. The company is a leading platform for carbon markets trading, providing transaction execution, price transparency, and OTC trade settlement services."

Luke Oliver, KraneShares Managing Director

Luke Oliver leads the KraneShares Climate solutions business building innovative products that provide access to unique markets within this growing investment universe. In addition to curating the firm's climate, carbon and impact strategies, Luke works closely with clients to educate on the asset class and client implementation strategies. He is a regular industry commentator on TV, press, and online media and is responsible for digestible research on climate topics. Prior to joining KraneShares, Luke built and ran the $21bn US XTrackers ETF business at DWS (formerly Deutsche Asset Management) where he pioneered currency hedging, onshore China, and more recently ESG strategies which were among the first to recognize the need for value-aligned portfolios. Prior to this, he was the portfolio manager of $16bn in commodity assets across the PowerShares DB Commodity ETF suite. Luke has a reputation for building strong, diverse teams, creating profitable businesses, and bringing innovative solutions to market. His 20-year financial career also included time on the capital markets sell-side. This diverse experience has allowed Luke to identify the most powerful emerging trends in the investment landscape. "Pricing carbon emissions and decarbonizing the global economy is the single greatest challenge, and opportunity, we will ever be presented with. I have no doubt this will be our mission for the next 20 years and it must not fail."



Michael Lee, Octopus Energy USA Chief Executive Officer

Michael Lee is CEO of Octopus Energy U.S. With nearly a decade in renewable energy, including working on some of the first battery storage projects and developing solar solutions for Fortune 500's internationally, Michael is an entrepreneur who believes technology can unlock cleaner and cheaper electricity all while delighting the customer. He is the co-founder of Evolve Energy, which was acquired by Octopus Energy in 2020. Michael has a background in finance and holds an MBA from Harvard.

Roger Mortimer, KraneShares Portfolio Manager

Roger Mortimer joined KraneShares as Portfolio Manager in February 2022. Roger brings a wealth of experience and passion to KraneShares growing Climate Suite. Roger has a distinguished career across the utilities, banking, and investment industries. As a public global equities project manager for over 20 years, he has been 5 Star Morningstar rated on three different mandates and has been extensively featured in media alongside his strategies, including some notable accolades. Today, he is completely immersed in climate strategy and shares our vision that climate (alongside China) is one of the largest themes for the next 25 years. Roger holds a Bachelor of Arts in Economics from the University of Western Ontario and an M.B.A. from the Ivey Business School at the University of Western Ontario.

Corey Cantor, **BloombergNEF Electric Vehicles Associate**

Corey Cantor is an electric vehicle associate at BloombergNEF on the electrified transport team. He covers the policy, economics and technology factors impacting the electrification of transportation, focusing his research on EV policy, the North American market and electric buses. Prior to completing his master's education, Corey worked for U.S. Senator Cory Booker on his economic team covering policy around banking, taxes, and the federal budget. Before that, he researched economic policy for NDN, a think tank in Washington D.C. Corey received his master's degree in Environmental Management from Yale's School of the Environment and bachelor's degree in Political Science and English Literature from Washington University in St. Louis.

Matt Hankey, **New Energy Equity, LLC Co-Founder, President, and Chief Executive Officer**

Matt Hankey is the Co-Founder, President & CEO of New Energy Equity, LLC. Under Matt's leadership, New Energy Equity has installed more than 250 renewable energy installations in more than 20 different states, totaling more than $600M in total transactional value. New Energy was ranked by Solar Power World in 2021 as the 7th Top Solar Developer and the 8th Top Solar Contractor in the United States and has been ranked as one of Inc. Magazine's Fastest-Growing Companies in both 2019 and 2020. Before he co-founded New Energy Equity, Matt held senior management positions with two Mid-Atlantic renewable energy companies where he was responsible for the management of the development and implementation of renewable energy projects within each company's footprint. Prior to entering the renewable energy industry in 2010, Matt worked in mergers and acquisitions (M&A) and corporate finance with M&T Investment Banking Group in Baltimore, MD where he assisted in the execution of multiple corporate finance transactions totaling over $430 million in enterprise value. He received his B.S. in Finance, Magna Cum Laude, from Ohio State University.

Anthony Sassine, CFA, **KraneShares Senior Investment Strategist**

Anthony Sassine joined KraneShares in 2019 as a Senior Investment Strategist. Anthony is responsible for conducting research and market analysis on China's capital markets, supporting clients, and advising on asset allocation and model portfolios. Prior to joining KraneShares, Anthony spent eight years at Van Eck and Pinebridge as a product strategist focusing on emerging markets equity and debt. At Van Eck, Anthony oversaw the growth of the firm's emerging markets active business and helped grow the emerging markets equity fund from $250 million to $3 billion. His responsibilities then and now include asset class research, competitive analysis, portfolio analysis, and advising clients on building emerging markets exposures. Anthony began his career in investment consulting with NEPC (formerly New England Pension Consultants) and Colonial Consulting. During this time Anthony partnered with consultants on servicing institutional clients and assisting with asset allocation, manager selection, and portfolio analysis. Anthony holds a Master's Degree in Finance from New York University (NYU) and Hong Kong University of Science and Technology (HKUST). Anthony is a CFA charter holder.

Sarah Edwards, **Cambridge Associates Senior Investment Director of Sustainable and Impact Investing**

Sarah Edwards joined Cambridge Associates in 2017. She conducts manager research through the lens of sustainability and impact and is based in the Boston office. Her focus spans asset classes and impact themes, and she leverages this research to support clients in activating impact and environmental, social, and governance considerations in portfolios. Sarah has over 14 years of diverse experience in the nonprofit and private sectors. She began her career advancing women's political and economic empowerment in western Africa. She then spent four years with Conservation International in Washington DC leveraging climate change science to influence global policy. This work prompted her graduate studies at Duke University, where she focused on the link between corporate strategy, environmental and social performance, and impact investing. Prior to joining Cambridge Associates, Sarah worked for management consulting firm, Partners in Performance, on operational improvement initiatives for industrial clients around the world. Sarah brings a unique blend of perspectives in environmental management and business strategy and operations to the Sustainable and Impact Investing Group, and balances client engagement with in-depth manager research.

Rob Walker, CFA, CFP, **Jordan Park Vice President**

Rob Walker joined Jordan Park as a Vice President in January 2021. Prior to Jordan Park, he was a Vice President and Portfolio Manager at Jordan Park Trust Company (f/k/a Perspecta Trust), where he was responsible for portfolio construction and implementation, asset class returns forecasting, manager research, performance attribution, and client engagement. Previously, Rob was an Investment Analyst at W.P. Stewart & Co., a New York-based, long-only, U.S. equity manager, where he led the firm's Industrials coverage and served on its investment committee. He began his career in 2004 at Redwood Investments where he served as an Investment Associate. Rob earned his BA in Quantitative Economics from Tufts University and his MBA from the University of Michigan, where he graduated with high distinction. He is a CFA charterholder and a member of the CFA Society of New York.

Ian Smith, CFA, **The Nature Conservancy Director of Investments**

Ian Smith joined The Nature Conservancy (TNC) in September 2020 and serves as Director of Investments, helping to oversee the organization's long-term investments portfolio. His efforts focus on research and manager due diligence across public equity, fixed income, and sustainability and impact offerings. Before joining TNC, Ian worked within the strategy team at Delta Air Lines, developing business cases and strategic roadmaps for both international expansion and domestic growth opportunities. Prior to Delta, he spent five years at Strategic Investment Group, a $37B Outsourced CIO firm, covering the private equity and venture capital portfolios and leading the firm's impact investing initiatives. He began his career in the financial consulting industry, based in New York. Ian obtained his MBA from UCLA's Anderson School of Management and received his BA in Economics from the University of Virginia, where he graduated with Distinction. Ian is a CFA charterholder.

Julian Daniels, **KraneShares Managing Director Institutional Sales**

Julian Daniels joined KraneShares in August 2018 as a Managing Director to head the firm's institutional efforts. Prior to KraneShares, Julian was a senior member of the VanEck institutional business development team where he was responsible for business development and client services across a suite of ETFs, mutual funds and hedge funds. During his tenure, the firm grew from approximately $5b to $48b. Prior to VanEck, he was an Associate at Select Access Management, a global, multi-strategy fund of hedge funds, where he was responsible for marketing, investor relations and business development initiatives. Julian graduated from Northeastern University with a Bachelors of Arts in History and Political Science where he was a member of the Men's Varsity Soccer team. He is on the board of America Scores New York and is a member of the Alumni Council at Portledge School.