



KraneShares™

Future of Green ETFs Summit

Thursday, March 25, 2021

KraneShares' Future of Green ETFs Summit

Welcome to the KraneShares' Future of Green ETFs Summit. We will hear from companies at the forefront of renewable energy and pollution reduction in China and experts on sustainable investment strategies. Nobel Laureate and Economist Robert Engle will deliver the keynote on how the global carbon allowance market offers a direct opportunity to invest in pollution reduction with significant upside potential.



Investors can utilize ETFs and index funds to implement efficient ESG investment strategies now that scoring methodologies are widely established. However, the resulting impact for society and the returns generated from ESG criteria application can vary significantly between markets. This divergence is especially evident in China, where ESG has had a significant performance-boosting effect that may not occur in other markets. It is also apparent in the global carbon allowance market, where the climate impact from investment can be readily quantified. We hope that this conference will be a thought-provoking and memorable experience. Thank you for joining us!

Virtual Summit Event Agenda

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| 9:00 am | Opening Remarks | Jonathan Krane, KraneShares, Chief Executive Officer |
| 9:05 am | Investor Panel – ESG Allocation Trends From Investors In The US And Europe | Renco van Schie, Valuedge Partners, Partner & CIO |
| | | Fred Kooij, Tribe Impact Capital, CIO |
| | | Mark Hays, Glenmede, Director of Sustainable & Impact Investing |
| | | Moderated by Dr. Xiaolin Chen, KraneShares, Head of International |
| 10:00 am | Sustainable Investing and Modern China | Cary Krosinsky, Author of “Modern China” and Lecturer at Yale School of Management |
| | | Brendan Ahern, CIO, KraneShares |
| 10:30 am | The Next Chapter for China’s Electric Vehicle Market | Intro by Anthony Sassine, KraneShares, Senior Investment Strategist |
| | | Yuqian Ding, HSBC Qianhai Securities Limited, Head of A-share Auto Research |
| 11:15 am | Empowering A New Economy: Electric Vehicle and Battery Industry Trends and Outlook | Alf Poor, Ideanomics, Inc., Chief Executive Officer |
| 11:30 am | MSCI’s Approach to ESG in China Indexes | Abhishek Gupta, MSCI, Executive Director |
| 12:00 pm | Introduction to the Global Carbon Allowance Market | Eron Bloomgarden, Climate Finance Partners, Sustainable Finance Lecturer at Columbia University’s Earth Institute |
| 12:15 pm | Keynote: A Financial Approach to Climate Change Risk | Robert Engle, Nobel Laureate in Economics, and Professor of Finance at New York University Stern School of Business |
| 12:45 pm | Q&A | |
| 1:00 pm | Event Concludes | |

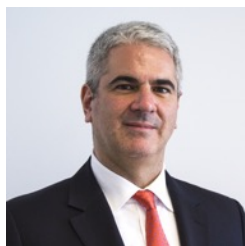


Keynote

Robert Engle

2003 Nobel Prize in Economics, and Professor of Finance at NYU Stern School of Business, CLIFI Advisory Board Member

Featured Speakers (in order of appearance):



Jonathan Krane, *Founder and CEO of KraneShares*

Jonathan Krane is the founder and Chief Executive Officer of KraneShares, an asset management firm delivering China-focused exchange traded funds to global investors. KraneShares focuses on providing expert access and products for investors to gain exposure to China's capital markets. Jonathan has spent the last fifteen years working with companies in China. He previously founded a leading media and entertainment company in China which was later sold to a publicly traded multi-national corporation. Jonathan received an MBA from Columbia Business School and a BA from Connecticut College. He is on the board of the US-China Strong Foundation and is a member of the Young Presidents Organization (YPO)..



Jonathan Shelon, *Chief Operating Officer*

Jonathan Shelon is the Chief Operating Officer at KraneShares and joined the firm in 2015. He oversees several business functions that drive efficient growth, and serves on the leadership team. Jonathan has extensive experience in managing investment portfolios and diverse teams at leading asset management organizations. Prior to Krane, Jonathan was the Chief Investment Officer of the Specialized Strategies Team at J.P. Morgan, overseeing \$40 billion in AUM. Prior to joining J.P. Morgan, Jonathan was a portfolio manager at Fidelity Investments where he was responsible for \$150 billion in assets for over five million shareholders in Fidelity's target date strategies, the Freedom Funds. Before Fidelity, Jonathan was a quantitative consultant in the Capital Markets Research Group of Callan Associates where he developed strategic investment plans for institutional clients. Jonathan received a Bachelor of Business Administration degree in actuarial science from the Fox School of Business and Management at Temple University, and is a Fellow of the Society of Actuaries and Chartered Financial Analyst charter holder.



Dr. Xiaolin Chen, *Head of International*

Xiaolin is a Managing Director, Head of International of KraneShares based out of the United Kingdom. Xiaolin oversees investment solutions and strategy efforts and manages the firm's business outside of the United States. Prior to joining the firm, Xiaolin was a lead portfolio manager with J.P. Morgan Private Bank managing fund of fund portfolios comprising public market and liquid alternative assets. Xiaolin was responsible for client portfolios totaling \$70 billion collectively across a range of different risk parameters. Her duties included development of investment strategy, tactical asset allocation and investment implementation. Xiaolin has extensive experience in both London and New York developing and managing investment solutions to help clients meet a wide range of investment targets and objectives. She has covered clients across EMEA, Asia and the United States and has in-depth onshore and offshore multi-asset investment solution knowledge. Xiaolin holds a Bachelor's Degree and Ph.D. in Computer Science, both obtained from the University of Nottingham, the United Kingdom.



Mark Hays, *Director of Sustainable & Impact Investing at Glenmede*

Mark Hays is Director of Sustainable & Impact Investing. In this role, he provides strategic leadership of Glenmede's sustainable and impact investing efforts. Mark and his team are responsible for the development of new investment capabilities, the creation and delivery of innovative thought leadership, and the deepening of relationships with clients and industry partners. Previously, Mr. Hays served as Vice President of Sustainable Investing for J.P. Morgan Asset Management. In this role, he developed an enhanced ESG integration framework and led its implementation for more than 25 investment teams and over \$1 trillion in assets, while helping to create and launch three sustainable investment strategies. Before this role, he served as Vice President of Impact Investments for Flat World Partners, where he oversaw a team responsible for sourcing, investment due diligence, impact analysis, and recommendation of opportunities for sustainable thematic portfolios representing over \$1 billion in institutional capital. Mark started his career at Cambridge Associates, serving endowments and foundations on portfolio construction. Mr. Hays holds an M.B.A. from London Business School and a B.S. from Wake Forest University.



Fred Kooij, *Investment Officer at Tribe Impact Capital*

Fred is Chief Investment Officer at Tribe and is responsible for the strategic asset allocation and investment selections for Tribe portfolios. Fred has more than twenty years of investment and fund management experience. He was top ranked by Institutional Investor in both equities and leveraged finance while at JP Morgan and Credit Suisse, before moving to the buy side where he was Head of Research for Global Leverage Finance at Bluebay Asset Management. In 2014, he joined Digital World Capital as CIO and Partner, an alternatives fund managing long/short global equities and credit. Fred is also an active angel investor in a number of impact businesses and has extensive experience as a non-executive director in the media space.



Renco van Schie, *Founding Partner and CIO of Valuedge*

Renco received a Masters in the field of investing and finance and started his professional career in the Dealingroom of ABN Amro (Equities Trading & Sales). After 5 years of selling and trading Benelux equities to institutions across the globe, he moved to Credit Suisse First Boston in 2003, selling Pan-Euro equities including Emerging Europe to institutional clients. In 2012, he decided to start his own Wealth Management firm, Valuedge Partners. Valuedge uses an active, dynamic top-down asset allocation process, investing globally for private wealth clients. Valuedge believes emerging markets assets have a large strategic role in any investment portfolio. As Chief Investment Officer, Renco is responsible for the investment strategy and client portfolios. In the new Sustainable Finance Disclosure Regulation (SFDR), Valuedge is classified as 'light green', which strongly promotes sustainability (ESG).



Cary Krosinsky, Author of “Modern China” and Lecturer at Yale School of Management

Cary Krosinsky is Lecturer in the Practice of Management at the Yale School of Management. He teaches two seminars at Yale: a Residential College Seminar on Business and Sustainability, and co-teaches a Graduate Seminar on Climate and International Energy within YCEI (Yale Climate and Energy Institute), as well as an MBA class at the University of Maryland’s Robert H. Smith School of Business. He has taught at Columbia University since 2009 and advised to Cambridge’s Investment Leaders Group. His books include *Sustainable Investing: The Art of Long Term Performance* and *Evolutions in Sustainable Investing*.



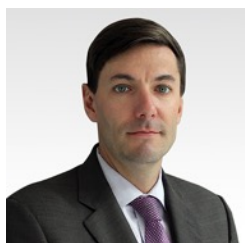
Anthony Sassine, CFA, Senior Investment Strategist at KraneShares

Anthony Sassine joined KraneShares in 2019 as a Senior Investment Strategist. Anthony is responsible for conducting research on China’s capital markets, supporting clients and advising on asset allocation and model portfolios. Anthony began his career in investment consulting with NEPC (formerly New England Pension Consultants) and Colonial Consulting. During this time Anthony partnered with consultants on servicing institutional clients and assisting with asset allocation, manager selection and portfolio analysis. He also worked with the Chief Investment Officer on market analysis.



Yuqian Ding, Head of A-share Auto Research at HSBC Qianhai Securities Limited

Yuqian Ding joined HSBC Qianhai Securities Limited in 2019 as the Head of A-share Auto Research, covering OEMs, suppliers, and EV value chains. Previously since 2010, Yuqian worked as an auto analyst with a bulge bracket global brokerage. She holds a double Bachelor’s Degree in Finance and English literature from Beijing Foreign Studies University.



Brendan Ahern, Chief Investment Officer at KraneShares

Brendan Ahern is the Chief Investment Officer at Krane Funds Advisors, LLC (KFA), which is the provider of KraneShares family of Exchange Traded Funds. Mr. Ahern joined KFA in 2013 and was an original member of the team that launched its first ETFs. He leads the firm’s China research and education efforts. Mr. Ahern actively works with investors on various subjects ranging from asset allocation to trading to articulating the growing influence that index providers hold in the asset management industry. Prior experience includes over ten years with Barclays Global Investors (subsequently BlackRock), which he joined in 2001 during the iShares ETF business rollout. His career has spanned a period of tremendous growth for ETFs, contributing to his profound knowledge of the ETF and passive investment landscape.



Alf Poor, *President and Chief Operating Officer and Ideanomics*

Alf Poor is the President and Chief Operating Officer at Ideanomics and President of the Connecticut Fintech Village. He is the Former Chief Operating Officer at Global Data Sentinel, a cybersecurity company that specializes in identity management, file access control, protected sharing, reporting and tracking, AI and thread response, and backup and recovery. He is also the former President and Chief Operating Officer of Agendize, a company whose integrated suite of apps that help businesses generate higher quality leads, improve business efficiency and customer engagement. Alf is a client-focused and profitability-driven management executive with a track record of success at both rapidly-growing technology companies and large, multi-national, organizations.



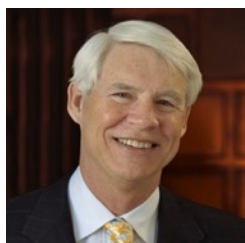
Abhishek Gupta, CFA, *Executive Director at MSCI Research*

Abhishek works with clients offering research insights and thought leadership on global investing and asset allocation issues. He is also responsible for conducting quantitative research on MSCI benchmark, factor, and ESG indexes. Previously, Abhishek was a member of the risk management group at Morgan Stanley and ICICI Bank. He has a postgraduate degree in Management from NITIE and is a CFA charter holder.



Eron Bloomgarden, *Partner, Climate Finance Partners*

Eron provides market intelligence and advice to investors on Climate Finance products and is a professor of sustainable finance at Columbia University's Earth Institute. He was Partner at Encourage Capital where he was the Portfolio Manager of the EKO Green Carbon Fund, President of Environmental Markets at Equator LLC, and U.S. Country Director at EcoSecurities, the world's largest carbon credit company listed on the London Stock Exchange, acquired by J.P. Morgan. He holds an M.P.A. from Columbia University's School of International and Public Affairs (SIPA) and completed the Program for Leadership Development at Harvard Business School.



Robert Engle, *2003 Nobel Prize in Economics, and Professor of Finance at NYU Stern School of Business, CLIFI Advisory Board Member*

Robert Engle, the Michael Armellino Professor of Finance at New York University Stern School of Business, was awarded the 2003 Nobel Prize in Economics for his research on the concept of autoregressive conditional heteroskedasticity (ARCH). He is currently the Co-Director of the NYU Stern Volatility and Risk Institute and is the Co-Founding President of the Society for Financial Econometrics (SoFiE), a global non-profit organization housed at NYU. Before joining NYU Stern in 2000, Professor Engle was Chancellor's Associates Professor and Economics Department Chair at the University of California, San Diego, and Associate Professor of Economics at the Massachusetts Institute of Technology.

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